

State of Ohio Proposal for Extension Period

Ohio shall perform the following tasks during the contract-extension period:

Task 1: Develop a brief addendum to state work plan that describes how Ohio will approach its scope of work. Ohio will participate in five specific tasks as outlined in the scope of work for the extension phase of April 1, 2009 through July 31, 2009. The following is a breakdown of tasks and the individual(s) from the project management team responsible for their completion (See attached spreadsheet for allocation of hours):

1. Monthly Technical and Financial Report – Hayes, Powers, Mitchin, Jursek, Crimmins
2. Bi-weekly Collaborative Meetings – Mitchin, Jursek, Crimmins, Powers
3. Monthly teleconference with RTI CPM – Hayes, Powers, Mitchin, Jursek, Crimmins
4. Steering committee meetings - Hayes, Powers, Mitchin, Jursek, Crimmins
5. Develop 90 minute workshop presentation – Mitchin, Jursek, Crimmins, Powers
6. Workshop presentation technical support – Mitchin, Powers
7. Consumer education challenge – Crimmins, Mitchin, Hayes
8. Provider education challenge – Jursek, Mitchin, Hayes
9. Collaborative innovation project Interstate Compact – Mitchin, Jursek
10. Collaborative innovation project Adoption of Standards – Crimmins, Mitchin, Powers
11. Final Report – Hayes, Mitchin, Powers, Jursek, Crimmins

Task 2: Submit monthly technical and financial status reports to RTI. Ohio project management team will submit technical and financial reports to RTI on the 25th every month. These reports will include updated information regarding both state-level achievements and collaborative contributions.

Task 3: Continue participation in bi-weekly meetings with collaborative and CPM. Ohio project management team will continue meeting with our assigned RTI CPM bi-weekly to review progress towards milestones, potential risks and mitigation strategies and planned activities for the upcoming period.

Task 4: Continue participation in monthly teleconferences with RTI CPM. In addition to the bi-weekly calls with the entire collaborative, the Ohio project management team will meet with their assigned CPM on a monthly basis to review state-specific progress and issues.

Task 5: Maintain a state-level Steering Committee. William Hayes, PhD will maintain our state-level steering committees by meeting on a regular basis to discuss and disseminate information resulting from the project to the members.

Task 6: Develop a 90 min Workshop to Present HISPC Phase 3 Tools and Resources to a Nationwide Audience. This collaborative-level workshop will present Phase 3 materials in a “how-to” format and will include participatory examples as necessary to illustrate execution of concepts or ideas. William Mitchin, Stephanie Jursek and Mary Crimmins will contribute to drafting and revising the presentation in coordination with the CPM and other members of the collaborative. The draft presentation and all components will be submitted to RTI for review and approval by May 8, 2009. Edits and adjustments will be made as requested and the final presentation and components will be submitted to RTI on May 29, 2009. Ohio recognizes that the nationwide Workshops will be held via WebEx and will be hosted, coordinated and scheduled by RTI throughout the months of June and July. William Mitchin will coordinate with RTI and other members of the collaborative to determine which member of the collaborative will give the presentation and schedule the presentation.

Task 7: Provide technical assistance on Workshop Presentation as requested. Ohio project management team recognizes that RTI will coordinate any and all inquiries regarding the Collaborative Phase 3 materials and will coordinate responses with members of the collaborative as necessary.

William Mitchin will answer questions via email and designate an individual or group of individuals from the collaborative to engage in telephone discussions, up to 5 hours per state for total requests.

Task 8: Execute HISPC Challenge 1 and Challenge 2 within your state.

Challenge 1:

Mary Crimmins will identify at 3 consumer-oriented stakeholder associations/organizations within the state of Ohio (including any HIOs), and adapt three items listed in "Attachment A: Consumer Education and Engagement Collaborative Deliverables Available for Distribution". The following steps are addressed in greater detail below: 1) approach the organizations, 2) review and determine which consumer education tools are appropriate, 3) work with the organization to adapt and disseminate the materials.

1. Approaching the organizations – Working with the Health Policy Institute of Ohio and the Office of the Governor, identify 3 consumer oriented stakeholder groups that have participated previously in state-wide initiatives to provide consumer education with emphasis on organizations involved in consumer healthcare security and privacy matters.
2. Reviewing and determining consumer education materials – Once the final consumer education materials are approved for use, Mary Crimmins will conduct a series of meetings with the targeted stakeholder groups to identify which of the Consumer Education Collaboratives materials are most applicable to the groups.
3. Working with the organization(s) to adapt and disseminate the materials – Mary Crimmins is the principle consultant assigned to this task. She will develop an implementation plan for the stakeholder groups to use and track the deliverables for this challenge task.

Challenge 2:

Stephanie Jursek will identify and partner with at least 2 provider associations within the state to promote the PET toolkit, including dissemination of the website and additional tools created for hard copy distribution as appropriate. In each of these 2 provider associations, Jursek will accomplish 2 of the following objectives:

- Gain support of the association to distribute an announcement via its listserv regarding the tools and resources available.
- Identify a "Physician Champion" within the association who is willing and able to effectively support word-of-mouth dissemination of the toolkit materials.
- Secure a speaking arrangement for at least one regular meeting of association members to present the toolkit materials.
- Distribute press release and journal articles in the leading local newspapers, medical trade press and associations' journals and newsletters, or any other association printed or web-based member communications.
- Establish affiliations with professional associations by having them provide a link to the project website to promote the toolkit material.
- Develop a detailed implementation plan for the provider associations to teach the tasks and deliverables for this challenge task.

Feedback and lessons learned regarding the dissemination of these materials within both the state consumer and provider stakeholder populations will be documented and submitted to RTI in a final report by 7/24/09. RTI will provide a template for this report by 6/15/09.

Task 9: Execute at least one innovation within your collaborative to advance your phase 3 work.

The specific steps that William Mitchin (Interstate Compact) and Mary Crimmins (Standards Adoption) will undertake include:

Innovation 1, Interstate Compact Subtask 9a: Ohio will work with the Collaborative to develop a Collaborative Work plan that includes a specific breakdown of project activities, task prioritization,

resource requirements, team responsibilities, and interim milestones to achieve deliverables. One aspect of this innovation phase key to Intrastate and Interstate Consent Policy Collaborative is to recognize that there are a number of organizations external to HISPC who are attempting to resolve the issue of interstate consent. One of our goals is to create a roadmap by reaching out to these organizations and incorporating their activities in an attempt to move ahead with development of an Interstate Compact. Ohio will lead a collaborative comprised of member states Illinois and North Carolina to deliver a roadmap from which the Interstate Compact will be created. The roadmap will include but not limited to discussion of the following components of the Interstate Compact:

- Parties to the Agreement
- Certification mechanism for security
- Governance structure
- Enforcement
- Liabilities
- Uses and disclosures
- General rules for development
- Outreach to external parties

Each member state will be assigned responsibility to address the issues involved with some of the components, including the development of guiding principles. It is not, due to time and fiscal constraints of this innovation phase, the intention of the Collaborative to develop the actual language of the compact. It should be noted that the components listed above may be altered to reflect additional components of the Interstate Compact as a result of our ongoing work.

The Collaborative will, as it did during Phase 3, reach out to other HISPC states to gauge their level of interest in participation either as secondary review states for this phase, or for consideration of future activities related to development of an Interstate compact.

Innovation 1, Interstate Compact Subtask 9b: Ohio will work with the Collaborative to submit a final report outlining the work of the Collaborative during the extension period. Ohio will provide consultant support to investigate components of the Interstate Compact legal mechanism. Ohio will help lead development of the Collaborative Work plan, guiding principles, and will take responsibility for one or more sections of the final report as well as review sections drafted by other states.

Innovation 2 Standards Adoption Subtask 9a: Ohio will work with the Collaborative to develop a Collaborative Work plan that includes a specific breakdown of project activities, task prioritization, resource requirements, team responsibilities, and interim milestones to achieve deliverables. The Adoption of Standard Policies Collaborative will perform the preliminary work to define standard policies for authorization and role-based access for providers accessing patient immunization information for treatment purposes. Authorization and role-based access are two aspects of privacy and security that are so interdependent that efforts to confine the work to one aspect or the other may lead to scope creep and jeopardize the success of the project. Due to the short time-line each state participating in the collaborative will focus on:

1. Addressing authorization and role-based access policy standards for providers and their clinical support staff, but not patients.
2. Basing its initial policy framework on a use-case pertaining to the sharing of immunization data within, and between Health Information Organizations.
3. Developing state-specific policy standards in consultation with the HIOs in each state in preparation for a face-to-face session in which a draft national policy standard is negotiated.

The state of Ohio team will contribute to the discussion as appropriate with an emphasis on the implications of accessibility of the Immunization Registry for school health access. In Ohio use of the Immunization Registry by healthcare providers is not mandated. School health officials enforce the

childhood immunization public health requirements and need to access the registry and often provide data to the registry.

Innovation 2, Standards Adoption Subtask 9b: Ohio will work with the Collaborative to submit a final report outlining the work of the Collaborative during the extension period

The deliverables will be submitted, with respect to quantity and schedule, as described in the table below.

Ref	Description	Quantity	Delivery
1	Modified State-level Work Plan	1	Within 1 week of EDOC
2	Submit monthly status and financial reports as described in Task 2	4	25th of each month, beginning in April 2009
3	Draft 90 Minute Workshop presentation and supporting materials	1	5/8/09
4	Final 90 Minute Workshop presentation and supporting materials	1	5/29/09
5	Submit Challenge 1 memo detailing results of all activities	1	7/24/09
6	Submit Challenge 2 memo detailing results of all activities	1	7/24/09
7	Develop Collaborative-level Work Plan as described in task 9a	1	Within 2 weeks of EDOC
8	Submit a final status report as described in task 9b.	2	7/24/09